

PWL/Stock Exchanges/2025-26/07

May 29, 2025

|   |   |
|---|---|
| To,<br>The General Manager,<br>Listing Department,<br>National Stock Exchange of India Limited<br>Exchange Plaza, C-1, Block-G<br>Bandra Kurla Complex<br>Bandra (E), -Mumbai-400051<br>Scrip Code: PLZACABLE | To,<br>The Manager<br>Department of Corporate Services,<br>BSE Limited,<br>P.J. Towers, Dalal Street,<br>Mumbai-400001<br>Scrip Code:544003 |
|---|---|

**Subject: Annual Secretarial Compliance Report for the Financial Year ended March 31, 2025**

**Dear Sir / Madam,**

Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Annual Secretarial Compliance Report issued by Mr. Vishwanath, Designated Partner, of M/s Sharma & Trivedi LLP (LLPIN: AAW-6850), Company Secretaries, for the Financial Year ended March 31, 2025.

The aforesaid report will also be uploaded on the Company's website at [www.plazawires.in](http://www.plazawires.in).

Kindly take the same on your record.

Thanking You,

**Yours faithfully**

**For Plaza Wires Limited**

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**Sanjay Gupta**  
**Promoter, Chairman and Managing Director**  
**DIN: 00202273**

**Place: Delhi**  
**Date: 29.05.2025**

**PLAZA WIRES LIMITED**

A-74, OKHLA INDUSTRIAL AREA, PHASE-II, NEW DELHI-110020

**Phone :** +91(011)-66369696 • **E-mail :** [feedback@plazacables.com](mailto:feedback@plazacables.com) • **Website :** [www.plazacables.com](http://www.plazacables.com)

**CIN :** U31300DL2006PLC152344

# SHARMA AND TRIVEDI LLP

(Registered with Limited Liability)

Company Secretaries, LLPIN: AAW-6850; UIN: L2021MH011000  
C-316, 3<sup>rd</sup> Floor, Avior Corporate Park, Nirmal Galaxy, L.B.S. Marg,  
Mulund (West), Mumbai – 400 080  
Tel: (+91 22) 2591 3041, email id- [csllp108@gmail.com](mailto:csllp108@gmail.com)

## Secretarial Compliance Report of Plaza Wires Limited for the Financial Year ended March 31, 2025

{Pursuant to regulation 3(b) of the SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 08, 2019 under the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015}

We, M/s. Sharma and Trivedi LLP, (LLPIN: AAW-6850), Company Secretaries, Mumbai have examined:

- (a) all the documents and records made available to us and explanation provided by **Plaza Wires Limited** having **CIN: L31300DL2006PLC152344** (the **“Company” “Listed entity”**);
- (b) the filings/ submissions made by the listed entity to the Stock Exchanges;
- (c) website of the listed entity (website address: [www.plazawires.in](http://www.plazawires.in)); and
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this Report;

for the Financial Year ended March 31, 2025 (**“Review Period”**) in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 (**“SEBI Act”**) and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 (**“SCRA”**), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (**“SEBI”**);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (*Not Applicable*, as there was no instance during the year under review)
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018, (*Not Applicable*, as there was no instance during the year under review);
- (e) The Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 (*Not Applicable*, as there was no instance during the year under review);

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- (f) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021 (*Not Applicable*, as there was no instance during the year under review);
- (g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; and
- (h) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

and circulars/guidelines issued thereunder;

and based on the above examination, I/We hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder, except in respect of matters specified below:

|     |   |   |
|-----|---|---|
| 1.  | Compliance Requirement (Regulations / circulars / guidelines including specific clause) | SEBI (LODR) Regulations, 2015   |
| 2.  | Regulation / Circular No.   | Regulation 23(9)  |
| 3.  | Deviations  | One Day delay in Filing details of the half yearly Related Party Transactions |
| 4.  | Action Taken by   | BSE & NSE   |
| 5.  | Type of Action  | Cautionary Letter   |
| 6.  | Details of Violation  | Delay in Filing   |
| 7.  | Fine Amount   | Rs.5,900/- (including GST Rs.900/-)   |
| 8.  | Observations/Remarks of the Practicing Company Secretary                                | The Company paid Rs.5,900/- each to BSE & NSE on 11 <sup>th</sup> July, 2024  |
| 9.  | Management Response   | The Company paid Rs.5,900/- each to BSE & NSE on 11 <sup>th</sup> July, 2024  |
| 10. | Remarks   | N.A.  |

- (b) The listed entity has taken the following actions to comply with the observations made in previous reports:

|    |  |      |
|----|--|------|
| 1. | Observations/ Remarks of the Practicing Company Secretary in the previous reports        | N.A. |
| 2. | Observations made in the secretarial compliance report for the financial year ended..... | N.A. |



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|    |   |      |
|----|---|------|
| 3. | Compliance Requirement (Regulations/circulars/guidelines including specific clause)                 | N.A. |
| 4. | Details of violation / deviations and actions taken / penalty imposed, if any, on the listed entity | N.A. |
| 5. | Remedial actions, if any, taken by the listed entity  | N.A. |
| 6. | Comments of the PCS on the actions taken by the listed entity                                       | N.A. |

(c) I/we hereby report that, during the review period the compliance status of the listed entity with the following requirements:

| Sr. No. | Particulars  | Compliance status (Yes/No /NA) | Observations/ Remarks by PCS* |
|---------|--|--------------------------------|-------------------------------|
| 1.      | <b>Secretarial Standards:</b><br><br>The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India  | Yes                            | --                            |
| 2.      | <b>Adoption and timely updation of the Policies:</b><br><br>• All applicable policies under SEBI Regulations are adopted with the approval of Board of Directors of the listed entities<br><br>• All the policies are in conformity with SEBI Regulations and has been reviewed & timely updated as per the regulations/circulars/guidelines issued by SEBI  | Yes<br><br>Yes                 | --                            |
| 3.      | <b>Maintenance and disclosures on Website:</b><br><br>• The Listed entity is maintaining a functional website<br><br>• Timely dissemination of the documents/ information under a separate section on the website<br><br>• Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re-directs to the relevant document(s)/ section of the website | Yes<br><br>Yes<br><br>Yes      | --                            |



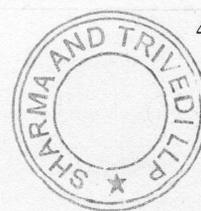
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|    |  |                           |   |
|----|--|---------------------------|---|
| 4. | <b>Disqualification of Director:</b><br><br>None of the Directors of the Company are disqualified under Section 164 of Companies Act, 2013   | Yes                       | --  |
| 5. | <b>Details related to Subsidiaries of listed entities:</b><br>(a) Identification of material Subsidiary Companies  | N.A.                      | The Company didn't have any Subsidiary Company as on 31 <sup>st</sup> March, 2025   |
|    | (b) Requirements with respect to disclosure of material as well as other Subsidiaries  | N.A.                      |   |
| 6. | <b>Preservation of Documents:</b><br><br>The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015. | Yes                       | --  |
| 7. | <b>Performance Evaluation:</b><br><br>The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year as prescribed in SEBI Regulations   | Yes                       | --  |
| 8. | <b>Related Party Transactions:</b><br>(a) The listed entity has obtained prior approval of Audit Committee for all Related party transactions  | Yes                       | The listed entity has obtained prior approval of Audit Committee for all related party transaction  |
|    | (b) In case no prior approval obtained, the listed entity shall provide detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/rejected by the Audit committee  | N.A.                      |   |
| 9. | <b>Disclosure of events or information:</b><br><br>The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.                                  | Yes, except for one event | The Company received a Caution letter with respect to the one day delay filing of the information relating to the Related Party Transactions under Regulation 23(9) of the SEBI LODR. The Company paid a penalty of Rs.5,900/- each to BSE & NSE.<br>(Caution letter from BSE NSE on April 1, 2025) |



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|     |   |      |   |
|-----|---|------|---|
| 10. | <b>Prohibition of Insider Trading:</b><br><br>The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015  | Yes  | --  |
| 11. | <b>Actions taken by SEBI or Stock Exchange(s), if any:</b><br><br>No Actions taken against the listed entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder   | Yes  | Company received Caution letter from BSE and NSE on April 1 <sup>st</sup> 2025 announcement submitted by company on July 11, 2024 regarding penalty of RS.5900/- by BSE and NSE |
| 12. | <b>Resignation of statutory auditors from the listed entity or its material subsidiaries</b><br><br>In case of resignation of statutory auditor from the listed entity or any of its material subsidiaries during the financial year, the listed entity and / or its material subsidiary(ies) has / have complied with paragraph 6.1 and 6.2 of section V-D of chapter V of the Master Circular on compliance with the provisions of the LODR Regulations by listed entities. | N.A. | There was no Resignation of the Statutory Auditor during the year under review.   |
| 13. | <b>Additional Non-compliances observed:</b><br>No additional non-compliance observed for all SEBI regulation/circular/guidance note etc.  | N.A. | As verified from records and confirmed by the Compliance Officer of the Company.  |

## Assumptions & Limitation of scope and Review:

1. Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the listed entity.
2. Our responsibility is to report based upon our examination of relevant documents and information. This is neither an audit nor an expression of opinion.
3. We have not verified the correctness and appropriateness of financial Records and Books of Accounts of the listed entity.



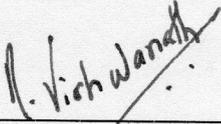
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4. This Report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.

**For Sharma and Trivedi LLP  
Company Secretaries**



**Vishwanath**

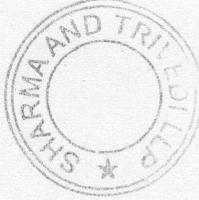
**Designated Partner**

**Membership No.: A14521**

**CP No.: 25099**

**UDIN: A014521G000478853**

**PR No.: 5560/2024**



**Date: 28<sup>th</sup> May, 2025**

**Place: Mumbai**